Advanced endoscopic ultrasound management techniques for preneoplastic pancreatic cystic lesions

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ABSTRACT
Pancreatic cystic lesions can be benign, premalignant or malignant. The recent increase in detection and tremendous clinical variability of pancreatic cysts has presented a significant therapeutic challenge to physicians. Mucinous cystic neoplasms are of particular interest given their known malignant potential. This review article provides a brief but comprehensive review of premalignant pancreatic cystic lesions with advanced endoscopic ultrasound (EUS) management approaches. A comprehensive literature search was performed using PubMed, Cochrane, OVID and EMBASE databases. Preneoplastic pancreatic cystic lesions include mucinous cystadenoma and intraductal papillary mucinous neoplasm. The 2012 International Sendai Guidelines guide physicians in their management of pancreatic cystic lesions. Some of the advanced EUS management techniques include ethanol ablation, chemotherapeutic (paclitaxel) ablation, radiofrequency ablation and cryotherapy. In future, EUS-guided injections of drug-eluting beads and neodymium:yttrium aluminium agent laser ablation is predicted to be an integral part of EUS-guided management techniques. In summary, International Sendai Consensus Guidelines should be used to make a decision regarding management of pancreatic cystic lesions. Advanced EUS techniques are proving extremely beneficial in management, especially in those patients who are at high surgical risk.

BACKGROUND
Cystic lesions of the pancreas span a wide clinical spectrum and include inflammatory pseudocysts, non-mucinous (serous cystadenomas, solid pseudopapillary neoplasms) and mucinous cysts (mucinous cystadenomas (MCAs), mucinous cystadenocarcinomas and intraductal papillary mucinous neoplasms (IPMNs)). Ductal adenocarcinoma and neuroendocrine tumors are often included in cyst classification schemes, though these are largely solid pancreatic tumors that have undergone degenerative cystic change.

Pancreatic cystic neoplasms represent benign, premalignant, and malignant lesions. The prevalence of pancreatic cysts in asymptomatic adults varies depending on the screening modality, ranging from 0.2% on the ultrasound to ~20% on MRI. Higher rates are reported in the elderly and Asians. Generally, size ≥3 cm, presence of a solid component, dilated pancreatic duct, growth of >2 mm/year, presence of mural nodules, and suggestive cytology or biochemical analysis on fine needle aspiration (FNA) are associated with increased malignant potential.

Major management approaches include surgical resection, endoscopic techniques, and surveillance. Surgical resection or enucleation has long been considered the gold standard for management of premalignant and malignant lesions. The decision to resect a lesion is based on several factors: the presence or absence of symptoms, location of the lesion, probability of malignancy, and surgical risk of the patient. Further tools such as the Sendai International Consensus Guidelines have been developed to guide physicians in their decision-making.

Surgical management is not without morbidity. Perioperative complication rates range between 20% and 40% and mortality approaches 2%, even in robust surgical candidates. As such, endoscopic techniques have posed an attractive alternative to classic surgical interventions, particularly in patients with comorbidities or asymptomatic, indeterminate cystic lesions.

The increase in detection and tremendous clinical variability of pancreatic cysts has presented a significant therapeutic challenge to physicians. Mucinous cystic neoplasms are of particular interest due to their malignant potential. This review article focuses on the pathogenesis as well as the management of mucinous cystic neoplasms, due to their malignant potential.

Mucinous cystic neoplasms
Mucinous cystic neoplasms of the pancreas are cystic neoplasms which are composed of columnar, mucin-producing epithelium, supported by ovarian-type stroma. Clinically, most mucinous cystic neoplasms are discovered as incidental findings during imaging for another indication. Mucinous cystic neoplasms can compress adjacent organs and produce symptoms if they are large in size. These lesions are becoming an important point of discussion due to their potential for malignant transformation.
Mucinous cystic neoplasms can be further subdivided into three categories: MCAs, mucinous adenocarcinomas, and IPMNs.

**MCA and mucinous adenocarcinoma**

MCAs are premalignant and may progress to invasive mucinous cystadenocarcinoma. In one series, 10% of MCAs progressed to invasive adenocarcinoma at the time of resection. These macrocystic lesions are found mostly in women and have a propensity to form in the body and tail of the pancreas. MCAs are unilocular and typically do not communicate with the pancreatic duct. Lined by mucin-secreting cells, they often contain a highly cellular ‘ovarian’ stroma. Genetic mutations, including K-ras mutations, have been associated with the development of these lesions (figures 1 and 2).

**Intraductal papillary mucinous neoplasm**

IPMNs arise from the mucin-producing cells within the pancreatic duct. They are also considered to be premalignant and may progress to invasive adenocarcinoma. IPMNs are further classified into three types based on their site of origin: main duct (MD)-IPMN, branch duct (BD)-IPMN, and mixed type (arising from both ducts). MD-IPMNs are more likely to be malignant with at least one-third harboring invasive cancer at the time of resection. IPMNs occur more commonly in elderly men and have a predilection for the head of the pancreas. Unlike MCAs, these lesions communicate with the pancreatic duct. The characteristic appearance on imaging or gross pathological evaluation is pancreatic ductal dilation secondary to papillary tumor growth. As in MCAs, the K-ras mutation has also been implicated in the development of IPMNs.

**DIAGNOSTIC APPROACHES**

While most patients with pancreatic cystic lesions are asymptomatic, a small minority of patients may present with non-specific symptoms including recurrent abdominal discomfort, nausea, vomiting, and early satiety. Moreover, symptoms such as weight loss, jaundice, steatorrhea, and diabetes are more concerning and may be thought to herald malignancy. Physical examination is likely to be unrevealing, though in some cases, clinicians may note jaundice or a palpable abdominal mass. Given the limitations of a careful history and physical examination, radiographic and endoscopic imaging techniques have been employed to better characterize cystic lesions. The following is a detailed discussion of the imaging modalities available.

**CT scan**

CT scan is the most widely used initial imaging modality for diagnosis and provides detailed images of the pancreas and surrounding structures. Radiographic findings often reveal pathognomonic features sufficient for an accurate diagnosis and importantly aid in distinguishing malignant neoplasms. For example, detection of peripheral calcifications or a mural nodule by CT scan in a suspicious mucinous cystic neoplasm (MCN) is highly suggestive of malignancy. In a study performed by Le Baleur et al., no malignant MCN had a diameter <40 mm. Thus, a diameter of <40 mm is associated with low risk of malignancy (figures 3 and 4).
MR cholangiopancreatography

MR cholangiopancreatography (MRCP) has gained popularity because it allows for detailed visualization of the pancreatic ductal system and surrounding parenchyma without radiation exposure and invasive techniques. When applied to pancreatic cystic neoplasms, MRCP may aid in the identification of a ductal connection, small BD cysts or in the estimation of MD involvement. These factors influence diagnostic accuracy, cancer risk stratification and management strategies. Thus, MRCP use is very beneficial in all patients with IPMNs (figure 5).

Endoscopic ultrasound with FNA

When clinical and radiological indices are inadequate for reliable identification of pancreatic cystic structures, endoscopic ultrasound (EUS) in conjunction with FNA may be employed to provide valuable diagnostic information. Endoscopic imaging allows for detection of small lesions and may also yield staging information via examination of the surrounding vasculature. The addition of FNA improves diagnostic accuracy via analysis of molecular markers within the cystic fluid itself. Cystic fluid analysis should include tumor markers such as carcinoembryonic antigen (CEA) as well as amylase levels and careful cytological examination (figure 6).

Cystic fluid CEA levels are of particular interest. High CEA levels do not distinguish benign from malignant cysts and are not predictive of disease progression; however, studies have shown that higher CEA levels are associated with mucinous cysts. In fact, a CEA level of 192 ng/mL has a sensitivity approaching 80% for diagnosis. Amylase levels are helpful in excluding pancreatic pseudocysts. A fluid amylase concentration of <250 U/L indicates low risk of a pseudocyst. High pancreatic cyst fluid amylase values occur in pseudocysts and some mucin-producing cystic neoplasms; thus, they are non-specific. Cytological evaluation is the most important method in distinguishing benign from malignant cysts. In recent years, DNA analysis of cystic fluid is becoming a point of interest. A study performed by Nikiforova and colleagues showed that K-ras mutations are highly specific (100%) but not very sensitive (54%) for detection of mucinous differentiation of pancreatic cysts. K-ras mutation had a sensitivity of 67% for IPMNs and 14% for MCNs.

Needle-based confocal laser endomicroscopy

Needle-based confocal laser endomicroscopy (nCLE) is a new diagnostic approach applied via EUS. It involves real-time imaging at the microscopic level using a sub-mm probe which can be introduced through an FNA needle.
Napoleon and colleagues proposed new nCLE criteria for characterization of pancreatic cystic lesions (mucinous cystic neoplasm, cystic neuroendocrine neoplasms, pseudocyst) based on histological correlation in a multicenter study. Histologically, mucinous cystic neoplasms consist of two distinct components: mucin-producing epithelium and fibrous tissue containing stroma composed of densely packed spindle cells with some large blood vessels. On nCLE, stroma was not clearly seen and the epithelial border was seen as a gray band. Histologically, a pseudocyst is seen as a fibroinflammatory tissue around necrotic adipocytes. On nCLE, a pseudocyst appears as a mixture of gray and black particles of various sizes (10–40 μm). These particles represent inflammatory cells. A cystic neuroendocrine neoplasm is histologically characterized by a neoplastic monomorphic cell proliferation with variable organization and cellular structure. The cell arrangement can be trabecular, diffuse or nested. Fibrotic stroma surrounds these cellular arrangements. On nCLE, dark irregular clusters of compact cells representing neoplastic cells were seen which were surrounded by gray colored stroma.

Results showed an overall accuracy of 94% for detection of mucinous cystic lesions. Accuracy was found to be 87% for characterization of pseudocysts. Nakai and colleagues also assessed the diagnostic yield of combined nCLE and cystoscopy for diagnosis of pancreatic cystic neoplasms. Mucin on cystoscopy and papillary projections or black rings on nCLE were found as characteristic features of MCNs. This study described that when used alone, EUS had a sensitivity of 53% and specificity of 67% for diagnosis of MCNs. When nCLE was used for diagnosis, sensitivity increased to 80% and specificity to 100%. Use of cytology further improved sensitivity to 90%. Combined use of nCLE and cystoscopy for diagnosis of MCNs produced results with 100% sensitivity and specificity.

**MANAGEMENT GUIDELINES**

The 2012 Sendai International Consensus Guidelines were released to guide physicians in their management of MCNs and IPMNs. They recommend CT or MRCP for all cysts ≥1 cm. On the basis of these imaging techniques, patients may be classified into one of two groups, high-risk stigmata or worrisome features. High-risk stigmata include evidence of an enhanced solid component of cysts, MD ≥10 mm or the presence of obstructive jaundice. Cysts with high-risk stigmata should be resected in patients medically fit for surgery. In these patients, EUS is considered optional, but may provide useful information preoperatively. Worrisome features include but are not limited to cysts ≥3 cm, thickened or enhanced cyst walls, MD size between 5 and 9 mm, and abrupt change in appearance and lymphadenopathy. EUS is subsequently recommended for all cysts with worrisome features or for cysts >3 cm without these features. EUS confirmation of a mural nodule, MD involvement or suspicious cytology is an indication for surgical resection. Additionally, EUS can be considered in smaller cysts without worrisome features but is not required.

**ADVANCED EUS MANAGEMENT APPROACHES**

**Ethanol ablation**

Ablative ethanol therapy of cystic lesions has long been regarded as a safe, effective and minimally invasive management strategy, particularly when applied to hepatic, renal, splenic, endometrial and thyroid cysts. For pancreatic cysts, this therapeutic option poses an attractive alternative to classic surgical approaches, especially in those patients with numerous preoperative comorbidities. Ethanol is an inexpensive, low-viscosity, and widely available agent thought to induce cell membrane lysis, protein denaturation, and vascular occlusion within minutes of injection. Furthermore, when applied specifically to pancreatic cystic lesions, the ethanol injection is thought to reduce the risk of neoplasm by eliminating the source of neoplasia, the cyst epithelium.

Ethanol ablation is best performed by an experienced endoscopist given the complex morphology of most pancreatic cysts. Pancreatic cysts are visualized using either a radial scanning echoendoscope or a curvilinear array echoendoscope. Cyst aspiration often occurs via a transgastric or transduodenal approach and may be challenging, particularly in cases of mucinous cysts given the viscous nature of the aspirate. Evacuation of cyst fluid provides useful diagnostic information, creates space within the cavity for the ablative agent and increases the surface area of exposure to the ablative agent. After subtotal evacuation, ethanol is injected and the cyst cavity is lavaged for 3–5 min, alternately filling and emptying. The concentration of ethanol varies, sometimes approaching 99% depending on the endoscopist’s preference, and does not appear to correlate with successful cyst resolution. At the end of the lavage, the injected ethanol is mostly evacuated, leaving only enough solution to outline the cyst cavity. Care is taken throughout the procedure to ensure that the needle tip is maintained within the cyst, to avoid parenchymal injury or a leak in the wall. Once the procedure is complete, the needle is removed from the cyst cavity. This algorithm becomes more complex when applied to morphologically complex cysts, defined as larger, thick walled, multiloculated cysts. In these instances, a single needle pass may not be sufficient to provide adequate delivery of the ablative agent and the angle of introduction should be optimized such that the maximum number of locules are traversed. Even one missed locule may result in cyst regeneration and treatment failure.

Procedural success has been well documented in the literature with overall resolution on imaging ranging from 33% to 38% at a follow-up period of 3–13 months. In the largest multicenter, randomized, controlled, double-blind study examining this subject matter, DeWitt and colleagues compared EUS-guided saline lavage to ethanol lavage. They concluded that ethanol lavage resulted in a greater decrease in cyst surface area and noted overall CT-defined resolution in 33% of patients at 3–4 months after ablation. Concerns related to long-term procedural success prompted DeWitt and colleagues to perform a subsequent longitudinal evaluation of patients from the original study cohort. All nine patients with initial CT-defined resolution demonstrated no evidence of cyst recurrence on repeat cross-sectional imaging at a median of 26 months postablation. The results of this study suggest a durable ablative response, effectively reducing the likelihood of cyst reaccumulation and subsequent malignant transformation. Park and colleagues demonstrated that the success rate of ethanol ablation was different depending on cystic fluid characteristics.
Ablation with chemotherapeutic agents

A second ablative agent, paclitaxel, has been applied in the management of pancreatic cystic neoplasms as well as in tumors of the lung and brain. \(^{33,34}\) Paclitaxel is a commonly used chemotherapeutic drug that works by inhibiting cell processes that are dependent on microtubules, resulting in cessation of cell division and induction of apoptosis. This agent is hydrophobic and highly viscous, though its consistency varies somewhat depending on the composition of its co-solvent. \(^{45}\) A localized, rather than systemic, application of paclitaxel has gained significant favor, theoretically minimizing the toxicities of therapy while also increasing therapeutic concentrations within the cyst itself. \(^{46}\)

In practice, paclitaxel and ethanol are thought to have a synergistic ablative effect; thus, the combined use of these two agents has been well described in the literature. Ethanol lavage causes distortion of the cyst epithelial lining and paclitaxel exerts secondary tumorous inhibition on the injured tissue. \(^{34,42,47}\) The technique applied is similar to the methods described above for ethanol ablation. Ethanol is first injected into a collapsed cyst, followed by a 3–5 min lavage of the aspirate. The ethanol solution is subsequently reaspirated and paclitaxel is injected into the empty cyst cavity. The viscosity of the solution is thought to be of mixed utility, reducing the risk of leakage through the puncture site but also complicating the injection technique itself. \(^{35}\) The needle is retrieved and the chemotherapeutic agent remains within the cyst to exert its effect. A study showed that complete resolution was achieved in 62% of patients with a 2-year follow-up. \(^{41}\) In 2014, DeWitt and colleagues published a study showing a combined management approach with ethanol and paclitaxel. Their results demonstrated complete response in 50%, partial response in 25% and a persistent cyst in 25% of patients. Adverse events included abdominal pain in 13%, pancreatitis in 10%, peritonitis in 3% and gastric wall cyst in 3%. \(^{48}\)

Radiofrequency ablation

Radiofrequency ablation (RFA) is a well-established procedure that has been applied to cystic lesions of the kidney, thyroid, and pancreas, among others. \(^{49,50}\) Under endoscopic guidance, this technique allows targeted delivery of electrical therapy, producing initial coagulative necrosis and eventual fibrotic changes in surrounding tissues. \(^{49}\)

RFA uses a high-frequency (460–500 kHz) alternating current that is delivered to a targeted lesion through a thin needle which is inserted through the working channel of an endoscope. This needle is electrically insulated except for its terminal 1–3 cm. A current produces resistive friction in the tissue that is converted into heat, similar to the way heat is generated from an electrical resistor in a circuit. The current is completed with grounding pads placed on the patient’s back and thighs. RFA can predictably produce an ablation area of 3 cm at a single application, so cystic lesions >3 cm may require more than one deployment of the needle electrode. \(^{53}\) This technology has only recently been adopted by endoscopists; thus, efficacy data are limited. Initial studies demonstrated that this technology is feasible, effective and safe when applied to the porcine pancreas. \(^{51}\) Pai and colleagues used RFA ablation for management of pancreatic cystic lesions in six patients. Postprocedural imaging 3–6 months after treatment found complete resolution of the cystic lesion in two patients and 48.4% reduction in the size of the cystic lesion in three patients. No major complications were observed within 48 hours of the procedure. Two patients reported abdominal pain. \(^{52}\)

The application of RFA in unresectable pancreatic cancer both in human and animal models demonstrates significant morbidity associated with its use. \(^{53,54}\) Complications such as pancreatitis, perforation, or thermal injury to the bile duct, pancreatic parenchyma, and vessels occurred. \(^{55,54}\) Cooling of adjacent tissue and real-time visualization of the target and surrounding structures has reduced procedure-related complications.\(^{54–57}\) (Figure 7).

Cryotherapy

Cryotherapy may also be applied to pancreatic cystic neoplasms and has demonstrated utility in neoplasms of the liver, prostate, lung and breast.\(^{58}\) This technology is gaining increasing popularity, particularly when used with adjunctive therapies such as irradiation, chemotherapeutic drugs, apoptotic promoters and immunological agents. \(^{58,59}\) In contrast to the thermal injury generated via RFA, cryotherapy induces damage via extreme cold produced by the application of liquid nitrogen or argon gas. In vitro studies on cancer cells and in vivo animal studies on implanted cells suggest that temperature of at least −4°C is necessary

![Figure 7](https://jim.bmj.com/content/10.1136/jim-2016-000167)
for destruction of abnormal cells.\textsuperscript{58–60} Initial cryoinjury is related to the combination of ice-associated damage and cellular osmotic shock during the freeze-thaw process while delayed injury arises from the progressive failure of microcirculation that leads to vascular stasis and necrosis.\textsuperscript{61}

Cryotherapy may be performed under real-time guidance using EUS, CT scan or MRI. This discussion will focus on EUS-guided cryoablation. A 2 or 3 mm hollow instrument called a cryoprobe is brought in contact with abnormal tissue via the working channel of the endoscope. For larger lesions, multiple probes may be used. Refrigerants are usually forced through the device under pressure to allow for quick delivery and to preserve the flexibility of the cryoprobe. Two cycles of freezing/thawing are performed with subsequent targeted tissue injury occurring.\textsuperscript{62}

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<th>Table 1</th>
<th>Comparison of preneoplastic pancreatic cysts (MCN and IPMN) with pseudocyst</th>
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<td>Location</td>
<td>Pseudocyst</td>
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<td>EUS features</td>
<td>Primarily unilocular, anechoic or contains debris</td>
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<td>Cystic fluid analysis</td>
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CEA, carcinoembryonic antigen; EUS, endoscopic ultrasound; IPMN, intraductal papillary mucinous neoplasm; MCN, mucinous cystic neoplasm.

Figure 8  Schematic with a brief summary of management of cystic pancreatic lesions. CEA, carcinoembryonic antigen; EUS, endoscopic ultrasound; FNA, fine needle aspiration; MRCP, MR cholangiopancreatography.
When applied to the pancreas, this technique is well known when used in conjunction with brachytherapy or iodine-125 seed implantation. Iodine-125 is an isotope which may be implanted at the border of the neoplasm where temperatures are suboptimal for complete tissue injury. Seed implantation provides local delivery of γ radiation and induction of apoptosis. Xu et al. examined 49 patients who underwent iodine-125 seed implantation after percutaneous cryosurgery and found improvements in median survival when compared with cryotherapy alone. Other well-known combination therapies include RFA and cryogenic cooling using a bipolar cryotherapeutic probe endoscopically. Arcidiacono et al. conducted a cohort study of 16 patients and demonstrated feasibility and safety of this technology in the pancreas. Endoscopic cryotherapy of pancreatic cysts is a relatively new technology; thus, efficacy data are limited. Complications of cryoablation include those caused by hypothermic damage to adjacent normal tissue, damage along the probe track and seeding of neoplastic cells during probe removal. Incomplete destruction of cells and persistence of neoplastic tissue is possible, particularly within the border zones where temperatures may be somewhat warmer than target. In rare cases, perforation and strictures may occur.

**FUTURE DIRECTIONS**

**EUS-guided injection of drug-eluting beads**

EUS-guided injection of drug-eluting beads loaded with chemotherapeutic agents such as doxorubicin, mitoxantrone, and irinotecan was first described in its application to colorectal liver metastasis. Recently, this technique has been applied to the pancreas, targeting hepatocellular carcinoma and peritoneal implants of pancreatic origin. These beads are biocompatible polyvinyl-alcohol hydrogel beads which allow a slow and sustained release of the drug within a targeted area. Current research demonstrates the utility of this EUS-guided therapy when applied directly to neoplasms of the pancreas in animal models. Karaca et al. demonstrated the feasibility and safety of EUS-guided irinotecan-loaded beads in a porcine model. Additional investigation is needed to further guide use in patients with pancreatic cystic neoplasms.

**EUS-guided neodymium:yttrium aluminum agent laser ablation**

Laser ablation with a neodymium:yttrium aluminum agent (Nd:YAG) laser is being used in the management of hepato-cellular carcinoma, liver metastasis in colorectal cancer and malignant thyroid nodules. These lasers typically emit light with a wavelength in the infrared spectrum with energy output sufficient for induction of tissue necrosis. DiMatteo and colleagues assessed the feasibility of the Nd:YAG laser for pancreatic tissue necrosis in an animal model. The Nd: YAG laser (1.064 nm) with an output power of 2 and 3 W and a total delivery energy of 500 and 1000 J in continuous mode was used in this study. Tissue necrosis of pancreatic parenchyma was observed in all animal models on histological examination. No significant technical difficulties or side effects were encountered. This technology remains investigational and future studies of the Nd:YAG laser are needed prior to implementation.

**CONCLUSIONS**

Prenecrotic pancreatic cystic lesions are clinically and pathologically diverse with a variable disease course. Major management approaches include surgical resection, endoscopic techniques, and surveillance. Endoscopic therapeutic options are vast, minimally invasive, and enable targeted, individualized therapy for patients who should not undergo surgical resection. Additional investigation is needed to evaluate the efficacy and adverse effects of endoscopic treatment approaches in place.

Table 1 shows a brief summary of differences between preneoplastic cystic lesions and pseudocyst.

Below is a simplified algorithm for management of cystic lesions of the pancreas with special focus toward preneoplastic cystic lesions management (figure 8).

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